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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 113197-002	
In Re Application Of: Osakuma, K., et al.			
Serial No. 09/775,841	Filing Date February 1, 2001	Examiner Chih Cheng G. Kao	Group Art Unit 2882
Title: OPTICAL SWITCH			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
37 CFR 1.97(b)			
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<div style="margin-left: 40px;"> <input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>			
OR			
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>			

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
113197-002

In Re Application: Sakuma, J. et al.

Serial No.
09/775,841

Filing Date
February 1, 2001

Examiner
Chih Cheng G. Kao

Group Art Unit
2882

OPTICAL SWITCH

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on August 4, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: August 4, 2003

Michael S. Leonard, Reg. No. 37,557
BELL, BOYD & LLOYD LLC
P.O. Box 1135
Chicago, IL 60690-1135
Tel: (312) 807-4270

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
113197-002

In Re Application Of: Sakuma, et al.

Serial No.
09/775,841

Filing Date
February 1, 2001

Examiner
Chih Cheng G. Kao

Group Art Unit
2882

Invention: **OPTICAL SWITCH**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: August 4, 2003

Michael S. Leonard, Reg. No. 37,557
BELL, BOYD & LLOYD LLC
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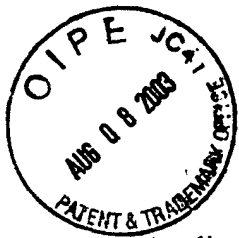
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Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sakuma, K., et al.
Appl. No.: 09/775,841
Conf. No.: 1155
Filed: February 1, 2001
Title: OPTICAL SWITCH
Art Unit: 2882
Examiner: Kao, Chih Cheng G
Docket No.: 113197-002

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
59-73720	May 18, 1984	Japan
59-33430	February 23, 1984	Japan
63-256928	October 24, 1988	Japan
10-20348	January 23, 1988	Japan

All of the references are not in the English language. English abstracts are included for Japanese Patent Application Nos. 59-33430, 63-256928, and 10-20348. An English translation is included for Japanese patent application no. 59-73720.

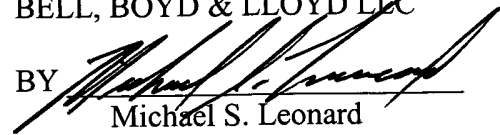
All of the cited references were cited in an Office Action dated June 3, 2003 in the corresponding Japanese priority application 2000-391681. An English language translation of the Office Action is enclosed. The English language translation of the Japanese Office Action is being submitted merely for English language supplements to the English language descriptions of the references which are already enclosed. Applicants do not admit any of the assertions in the Japanese Office Action, including any rejections or asserted disclosures in the references, for example.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Dated: August 4, 2003

